

## National Survey of Substance Abuse Treatment Services (N-SSATS), 2011

United States Department of Health and Human Services. Substance Abuse and Mental Health Services Administration. Center for Behavioral Health Statistics and Quality

Questionnaire



is sponsored by



U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Substance Abuse and Mental Health Services Administration Office of Applied Studies www.samhsa.gov Inter-university Consortium for Political and Social Research P.O. Box 1248 Ann Arbor, Michigan 48106 www.icpsr.umich.edu

### **Terms of Use**

The terms of use for this study can be found at: http://www.icpsr.umich.edu/icpsrweb/ICPSR/studies/34539/terms

### Information about Copyrighted Content

Some instruments administered as part of this study may contain in whole or substantially in part contents from copyrighted instruments. Reproductions of the instruments are provided as documentation for the analysis of the data associated with this collection. Restrictions on "fair use" apply to all copyrighted content. More information about the reproduction of copyrighted works by educators and librarians is available from the United States Copyright Office.

### NOTICE WARNING CONCERNING COPYRIGHT RESTRICTIONS

The copyright law of the United States (Title 17, United States Code) governs the making of photocopies or other reproductions of copyrighted material. Under certain conditions specified in the law, libraries and archives are authorized to furnish a photocopy or other reproduction. One of these specified conditions is that the photocopy or reproduction is not to be "used for any purpose other than private study, scholarship, or research." If a user makes a request for, or later uses, a photocopy or reproduction for purposes in excess of "fair use," that user may be liable for copyright infringement.

### Information about Copyrighted Content

Some instruments administered as part of this study may contain in whole or substantially in part contents from copyrighted instruments. Reproductions of the instruments are provided as documentation for the analysis of the data associated with this collection. Restrictions on "fair use" apply to all copyrighted content. More information about the reproduction of copyrighted works by educators and librarians is available from the United States Copyright Office.

#### NOTICE

#### WARNING CONCERNING COPYRIGHT RESTRICTIONS

The copyright law of the United States (Title 17, United States Code) governs the making of photocopies or other reproductions of copyrighted material. Under certain conditions specified in the law, libraries and archives are authorized to furnish a photocopy or other reproduction. One of these specified conditions is that the photocopy or reproduction is not to be "used for any purpose other than private study, scholarship, or research." If a user makes a request for, or later uses, a photocopy or reproduction for purposes in excess of "fair use," that user may be liable for copyright infringement.

FORM APPROVED:

OMB No. 0930-0106 APPROVAL EXPIRES: 12/31/2012 See OMB burden statement on last page

## National Survey of Substance Abuse Treatment Services (N-SSATS)

March 31, 2011

Substance Abuse and Mental Health Services Administration (SAMHSA)

### PLEASE REVIEW THE FACILITY INFORMATION PRINTED ABOVE. CROSS OUT ERRORS AND ENTER CORRECT OR MISSING INFORMATION.

CHECK ONE

- Information is complete and correct, no changes needed
- All missing or incorrect information has been corrected



### PLEASE READ THIS ENTIRE PAGE BEFORE COMPLETING THE QUESTIONNAIRE

**Would you prefer to complete this questionnaire online**? See the pink flyer enclosed in your questionnaire packet for the Internet address and your unique user ID and password. You can log on and off the website as often as needed to complete the questionnaire. When you log on again, the program will take you to the next unanswered question. If you need more information, call the N-SSATS helpline at 1-888-324-8337.

### INSTRUCTIONS

- Most of the questions in this survey ask about -this facility." By -this facility" we mean the specific treatment facility or program whose name and location are printed on the front cover. If you have any questions about how the term -this facility" applies to your facility, please call 1-888-324-8337.
- Please answer ONLY for the specific facility or program whose name and location are printed on the front cover, unless otherwise specified in the questionnaire.
- If the questionnaire has not been completed online, return the completed questionnaire in the envelope provided. Please keep a copy for your records.
- For additional information about this survey and definitions of some of the terms used, please visit our website at http://info.nssats.com.
- If you have any questions or need additional blank forms, contact:

MATHEMATICA POLICY RESEARCH 1-888-324-8337 NSSATSWeb@mathematica-mpr.com

### **IMPORTANT INFORMATION**

\* <u>Asterisked questions</u>. Information from asterisked (\*) questions will be published in SAMHSA's *National Directory of Drug and Alcohol Abuse Treatment Programs* and will be available online at http://findtreatment.samhsa.gov, SAMHSA's Substance Abuse Treatment Facility Locator.

<u>Mapping feature in Locator</u>. Complete and accurate name and address information is needed for the online Treatment Facility Locator so it can correctly map the facility location.

<u>Eligibility for Directory/Locator</u>. Only facilities designated as eligible by their state substance abuse office will be listed in the *National Directory* and online Treatment Facility Locator. Your state N-SSATS representative can tell you if your facility is eligible to be listed in the Directory/Locator. For the name and telephone number of your state representative, call the N-SSATS helpline at 1-888-324-8337.

	SECTION A: FACILITY	3.		you answer "yes" to <u>substance abuse treatment</u> otion 3 of question 1?
	CHARACTERISTICS		<b>-</b> 1 🗖	Yes
	Section A asks about characteristics of individual facilities and should be completed for this facility only, that is, the		0 🗆	No → SKIP TO Q.34 (PAGE 11)
	treatment facility or program at the location listed on the front cover.	*4.		t is the <u>primary</u> focus of this facility <u>at this</u> tion, that is, the location listed on the front er?
1.	Which of the following substance abuse services		MARK	( ONE ONLY
	are offered by this facility <u>at this location</u> , that is, the location listed on the front cover?		1 🗆	Substance abuse treatment services
	MARK "YES" OR "NO" FOR EACH		2 🗆	Mental health services
	YES NO		3 🗖	Mix of mental health and substance abuse
	1. Intake, assessment, or referral 1 □ 0 □		$\sum$	treatment services (neither is primary)
	2. <b>Detoxification</b>	$\langle \langle \rangle$	4 🖸	General health care
	3. Substance abuse treatment		5 🗖	Other (Specify:)
	(services that focus on initiating and maintaining an individual's	$\lambda \setminus$		
	recovery from substance abuse and on averting relapse)	5.	ls thi	is facility operated by
	<ol> <li>Any other substance abuse</li> </ol>		MARK	CONE ONLY
	services		1 🗆	A private for-profit organization SKIP TO Q.6
			2 🗖	A private non-profit organization (BELOW)
2.	Did you answer "yes" to <u>detoxification</u> in option 2 of question 1 above?		з 🗆	State government
	-1 The Yes		4 🗆	Local, county, or community government (PAGE 2)
	$\circ \Box \qquad \text{No} \longrightarrow \text{SKIP TO Q.3} \text{ (TOP OF NEXT COLUMN)}$		5 🗖	Tribal government
↓			<b>-</b> 6 🗆	Federal Government
2a.	Does this facility detoxify clients from			
	MARK "YES" OR "NO" FOR EACH	<b>v</b> 5a.	Whic	ch Federal Government agency?
	<u>YES</u> <u>NO</u>		MARK	( ONE ONLY
	1. Alcohol1□ 0□		1 🗆	Department of Veterans Affairs
	<ol> <li>Benzodiazepines 1 □ 0 □</li> <li>Cocaine 1 □ 0 □</li> </ol>		2 🗆	Department of Defense SKIP TO
	4. Methamphetamines1□ 0□		_	→ Q.8
	5. Opiates 1 □ 0 □		3 🗆	Indian Health Service (PAGE 2)
	6. Other <i>(Specify:</i> 1 □ 0 □		4 🗆	Other (Specify:)J
	)	6.	le thi	is facility a solo practice, meaning, an office
2b.	Does this facility <u>routinely</u> use medications	0.	with	only one independent practitioner or selor?
	during detoxification?		1 🗆	Yes
	$\begin{array}{ccc} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ \end{array} \rightarrow SKIP TO Q.4 (NEXT COLUMN)$		о 🗆	No
		1		

7.		is facility affiliated with a religious nization?
	1 🗆	Yes
	0 🗆	No
8.	that	is facility a jail, prison, or other organization provides treatment <u>exclusively</u> for rcerated persons or juvenile detainees?
	1 🗆	Yes → SKIP TO Q.41 (PAGE 11)
$\int$	<b>-</b> 0 🗖	No
9.		is facility a hospital or located in or operated by spital?
_	<b>-</b> 1 🗆	Yes
	о 🗆	No → SKIP TO Q.10 (BELOW)
Ţ		
<b>9</b> a.	Wha	t type of hospital?
	MAR	( ONE ONLY
	1 🗆	General hospital (including VA hospital)
	2 🗌	Psychiatric hospital
	3 🗆	Other specialty hospital, for example, alcoholism, maternity, etc.
		(Specify:)
*10.		t telephone number(s) should a potential It call to schedule an <u>intake</u> appointment?
	1. (	() - ext.
	2. (	() ext
11.	this	ch of the following services are provided by facility <u>at this location</u> , that is, the location d on the front cover?
	MAR	SALL THAT APPLY
	Asse	essment and Pre-Treatment Services
	1 🗆	Screening for substance abuse
	2 🗆 3 🗖	
	ЪЦ	or diagnosis
	4 🗆	Comprehensive mental health assessment or diagnosis (for example, psychological or
	_	psychiatric evaluation and testing)
	5 🗆 6 🗖	
		may need treatment

7 □ Interim services for clients when immediate admission is not possible

**Testing** (Include tests performed at this location, even if specimen is sent to an outside source for chemical analysis.)

- <sup>8</sup> Breathalyzer or other blood alcohol testing
- □ Drug or alcohol urine screening
- <sup>10</sup> □ Screening for Hepatitis B
- 11 □ Screening for Hepatitis C
- 12 HIV testing
- 13 STD testing
- 14 D TB screening

#### Transitional Services

- 15 Discharge planning
- 16 Aftercare/continuing care

#### Ancillary Services

- 17 Case management services
- 18 Social skills development
- 19 □ Mentoring/peer support
- 20 Child care for clients' children
- Assistance with obtaining social services (for example, Medicaid, WIC, SSI, SSDI)
- 22 D Employment counseling or training for clients
- 23 Assistance in locating housing for clients
- Domestic violence—family or partner violence services (physical, sexual, and emotional abuse)
- 25 □ Early intervention for HIV
- <sup>26</sup> □ HIV or AIDS education, counseling, or support
- <sup>27</sup> Hepatitis education, counseling, or support
- 28 Health education other than HIV/AIDS or hepatitis
- Substance abuse education
- 30 Transportation assistance to treatment
- 31 D Mental health services
- 32 Acupuncture
- \*33 Residential beds for clients' children
- <sup>34</sup> □ Self-help groups (for example, AA, NA, SMART Recovery)
- 35 □ Smoking cessation counseling

#### Pharmacotherapies

- 36 □ Antabuse<sup>®</sup>
- 37 Naltrexone (oral)
- <sup>38</sup> □ Vivitrol<sup>®</sup> (injectible Naltrexone)
- <sup>39</sup> □ Campral<sup>®</sup>
- <sup>40</sup> □ Nicotine replacement
- <sup>41</sup> Non-nicotine smoking/tobacco cessation medications (for example, Bupropion, Varenicline)
- <sup>42</sup> Medications for psychiatric disorders
- 43 🛛 Methadone
- <sup>44</sup>  $\square$  Buprenorphine Subutex<sup>®</sup> or generic
- <sup>45</sup> □ Buprenorphine Suboxone<sup>®</sup>

*12.	Does this facility operate an Opioid Treatment Program (OTP) at this location?
	<ul> <li>Opioid Treatment Programs are certified by SAMHSA's Center for Substance Abuse Treatment to use opioid drugs such as methadone in the treatment of opioid (narcotic) addiction.</li> </ul>
╵┍─	-1 D Yes
	₀ □ No → SKIP TO Q.13 (BELOW)
*12a.	Are ALL of the substance abuse clients at this facility currently in the Opioid Treatment Program?
	₁□ Yes
*12b.	Does the Opioid Treatment Program at this location provide <u>maintenance</u> services, <u>detoxification</u> services, or both?
	MARK ONE ONLY
	1  Maintenance services
	2 Detoxification services
	₃□ Both

13. For each <u>type of counseling</u> listed below, please indicate <u>approximately what percent of the substance abuse</u> <u>clients at this facility</u> receive that type of counseling as part of their substance abuse treatment program.

	Ν	IARK ONE BOX I	FOR EACH TYPE	OF COUNSELING	6
TYPE OF COUNSELING	Not Offered	RECEIVED BY 25% OR LESS OF CLIENTS	RECEIVED BY 26% TO 50% OF CLIENTS	RECEIVED BY 51% to 75% OF CLIENTS	RECEIVED BY More Than 75% of Clients
1. Individual counseling	o 🗖	1 🗆	2 🗌	3 🗆	4 🗖
2. Group counseling	0 🗆	1 🗆	2 🗖	3 🗆	4 🗖
3. Family counseling	o 🗖	1 🗆	2 🗆	3 🗆	4 🗖
4. Marital/couples counseling	0 🗆	1 🗆	2 🗆	3 🗆	4 🗖

# 14. For each type of <u>clinical/therapeutic approach</u> listed below, please mark the box that best describes how <u>often that approach</u> is used at this facility.

• Definitions of these approaches can be found at: http://info.nssats.com

	MAR	MARK ONE FREQUENCY FOR EACH APPROACH				
CLINICAL/THERAPEUTIC APPROACHES	Never	Rarely	Sometimes	Always or Often	Not Familiar With This Approach	
1. Substance abuse counseling	. 1 🗆	2 🗖	з 🗖	4 🗖	5 🗖	
2. 12-step facilitation	. 1 🗆	2 🗆	з 🗆	4 🗆	5 🗆	
3. Brief intervention	. 1 🗆	2 🗆	з 🗖	4 🗖	5 🗖	
4. Cognitive-behavioral therapy	. 1 🗆	2 🗆	з 🗆	4 🗆	5 🗆	
5. Contingency management/motivational incentives	. 1 🗆	2 🗖	з 🗖	4 🗖	5 🗖	
6. Motivational interviewing	. 1 🗆	2 🗆	з 🗆	4 🗆	5 🗖	
7. Trauma-related counseling	. 1 🗆	2 🗖	3 🗖	4 🗖	5 🗖	
8. Anger management	. 1 🗆	2	3 🗆	4 🗆	5 🗖	
9. Matrix Model	. 1 🗆	2 🗖	3 🗖	4 🗖	5 🗖	
10. Community reinforcement plus vouchers	. 1 🗹	2	3 🗆	4 🗖	5 🗖	
11. Rational emotive behavioral therapy (REBT)	. 1 🗆	2 🗖	3 🗖	4 🗖	5 🗖	
12. Relapse prevention	. 1	2 🗖	3 🗆	4 🗆	5 🗖	
13. Other treatment approach (Specify:	_ 1 🗆	2 🗖	з 🗖	4 🗖		
facility's standard operating procedures?         MARK "YES" OR "NO" FOR EACH         YES         NO         1. Required continuing education for staff	for E	Yes No → SKI s this facility Yes No s this facility timent service tion for the	am or group other drunk of P TO Q.17 (E y serve <u>only</u> y serve <u>only</u> hearing imp <i>Language</i> , S	driver offen BELOW) DUI/DWI cl bstance ab anguage at aired <i>(for e</i> )	ders? ients? use this xample,	
<ul> <li>6. Periodic utilization review</li></ul>	• M	terpreter pro Yes	ither a staff o vides this se		an on-call	

*18.	trea	s this facility provide s tment services in a lang lish at this location?			
	• 1 🗆	Yes			
	0 🗆	No $\rightarrow$ SKIP TO Q.19 (I	NEXT COLUMN)		
↓ 18a.	trea	his facility, who provide tment services in a lang lish?			
	MAR	K ONE ONLY			
	1 🗆	Staff counselor who spectrum other than English $\rightarrow$ (	eaks a language GO TO Q.18b (BELOW)		
	2 🗖	On-call interpreter ( <i>in pe</i> brought in when needed			
	з 🗆	BOTH staff counselor a interpreter → GO TO			
*18b.	this MAR	hat other languages do facility provide substa K ALL THAT APPLY	nce abuse treatment?		
	Ame	American Indian or Alaska Native:			
	1 🗆	Норі	₃ 🗆 Navajo		
		Hopi Lakota	₃□ Navajo ₄□ Yupik		
	2 🗖		4□ Yupik or		
	2 🗖	Lakota Other American Indian o	4□ Yupik or		
	2	Lakota Other American Indian Alaska Native language	4□ Yupik or		
	2 🗆 5 🗆 Othe	Lakota Other American Indian of Alaska Native language (Specify:	4□ Yupik or		
	2 🗆 5 🗆 Othe 6 🗆	Lakota Other American Indian of Alaska Native language (Specify:	4 ☐ Yupik or) )		
	2 [] 5 [] 6 [] 7 []	Lakota Other American Indian of Alaska Native language ( <i>Specify:</i>	4 ☐ Yupik or) )		
	2 [] 5 [] 0 <b>Cthe</b> 6 [] 7 [] 8 []	Lakota Other American Indian of Alaska Native language ( <i>Specify:</i> er Languages: Arabic Any Chinese language	<ul> <li>↓ Yupik</li> <li>Polish</li> </ul>		
	2 [] 5 [] 6 [] 7 [] 8 [] 9 []	Lakota Other American Indian of Alaska Native language (Specify: er Languages: Arabic Any Chinese language Creole	<ul> <li>Yupik</li> <li>Yupik</li> <li>13 Grean</li> <li>14 Oplish</li> <li>15 Oprtuguese</li> </ul>		
	2 [] 5 [] 6 [] 7 [] 8 [] 9 [] 10 []	Lakota Other American Indian of Alaska Native language (Specify: er Languages: Arabic Any Chinese language Creole French	<ul> <li>Yupik</li> <li>Yupik</li> <li>13 Grean</li> <li>14 Polish</li> <li>15 Portuguese</li> <li>16 Russian</li> </ul>		
	2 [] 5 [] 6 [] 7 [] 8 [] 9 [] 10 [] 11 []	Lakota Other American Indian of Alaska Native language (Specify:	<ul> <li>Yupik</li> <li>Yupik</li> <li>Korean</li> <li>Polish</li> <li>Portuguese</li> <li>Russian</li> <li>Spanish</li> </ul>		
	2 [] 5 [] 6 [] 7 [] 8 [] 9 [] 10 [] 11 [] 12 []	Lakota Other American Indian of Alaska Native language (Specify:	<ul> <li>Yupik</li> <li>Yupik</li> <li>13 Crean</li> <li>14 Polish</li> <li>15 Portuguese</li> <li>16 Russian</li> <li>17 Spanish</li> <li>18 Tagalog</li> <li>19 Vietnamese</li> </ul>		
	2 [] 5 [] 6 [] 7 [] 8 [] 9 [] 10 [] 11 [] 12 []	Lakota Other American Indian of Alaska Native language (Specify:	<ul> <li>Yupik</li> <li>Yupik</li> <li>13 Crean</li> <li>14 Polish</li> <li>15 Portuguese</li> <li>16 Russian</li> <li>17 Spanish</li> <li>18 Tagalog</li> <li>19 Vietnamese</li> </ul>		
	2 [] 5 [] 6 [] 7 [] 8 [] 9 [] 10 [] 11 [] 12 []	Lakota Other American Indian of Alaska Native language (Specify:	<ul> <li>Yupik</li> <li>Yupik</li> <li>13 Crean</li> <li>14 Polish</li> <li>15 Portuguese</li> <li>16 Russian</li> <li>17 Spanish</li> <li>18 Tagalog</li> <li>19 Vietnamese</li> </ul>		
	2 [] 5 [] 6 [] 7 [] 8 [] 9 [] 10 [] 11 [] 12 []	Lakota Other American Indian of Alaska Native language (Specify:	<ul> <li>Yupik</li> <li>Yupik</li> <li>13 Crean</li> <li>14 Polish</li> <li>15 Portuguese</li> <li>16 Russian</li> <li>17 Spanish</li> <li>18 Tagalog</li> <li>19 Vietnamese</li> </ul>		

\*19. This question has two parts:

**Column A –** Please indicate the <u>types of clients</u> accepted into treatment <u>at this location</u>.

**Column B – <u>For each "yes" in Column A</u>:** Indicate whether this facility offers a <u>specially designed</u> substance abuse treatment program or group <u>exclusively</u> for that type of client <u>at this location</u>.

TYPE OF CLIENT		NTS	Colur OFF SPECI DESIC PROG OR GI	ERS ALLY GNED GRAM
	<u>YES</u>	<u>NO</u>	<u>YES</u>	<u>NO</u>
1. Adolescents	1 🗆	0 🗖	1 🗆	0 🗆
2. Clients with co-occurring mental and substance abuse disorders	1 🗆	о 🗆	1 🗆	0 🗆
3. Criminal justice clients (other than DUI/DWI)	1 🗆	o 🗖	1 🗆	0 🗆
4. Persons with HIV or AIDS	1 🗆	o 🗆	1 🗆	o 🗆
5. Lesbian, gay, bisexual, or transgender (LGBT) clients	1 🗆	0 🗆	1 🗆	0 🗆
6. Seniors or older adults	1 🗆	о 🗆	1 🗆	0 🗆
7. Adult women	1 🗆	0 🗆	1 🗆	0 🗆
8. Pregnant or postpartum women	1 🗆	o 🗖	1 🗆	0 🗆
9. Adult men	1 🗆	o 🗖	1 🗆	0 🗆
10. <u>Specially designed</u> programs or groups for any other types of clients ( <i>Specify below:</i>			1 🗆	o 🗆
				)

*20. Does this facility offer HOSPITAL INPATIENT substance abuse services at this location, that is, the location listed on the front cover?	*22. Does this facility offer OUTPATIENT substance abuse services at this location, that is, the location listed on the front cover?
T □ Yes $\Box$ No → SKIP TO Q.21 (BELOW) $\downarrow$ *20a. Which of the following HOSPITAL INPATIENT	$ \begin{array}{c c}  & 1 & \text{Yes} \\  & 0 & \text{No} \rightarrow \text{SKIP TO Q.23 (BELOW)} \\ \end{array} $ *22a. Which of the following OUTPATIENT convises are
services are offered at this facility?	*22a. Which of the following OUTPATIENT services are offered at this facility?
MARK "YES" OR "NO" FOR EACH	MARK "YES" OR "NO" FOR EACH
<u>YES</u> <u>NO</u>	<u>YES</u> <u>NO</u>
<ol> <li>Hospital inpatient detoxification 1 □ 0 □ (Similar to ASAM Levels IV-D and III.7-D, medically managed or monitored inpatient detoxification)</li> </ol>	<ol> <li>Outpatient detoxification</li></ol>
<ol> <li>Hospital inpatient treatment1 □ 0 □</li> <li>(Similar to ASAM Levels IV and III.7, medically managed or monitored</li> </ol>	2. Outpatient methadone maintenance 1 □ 0 □
intensive inpatient treatment) NOTE: ASAM is the American Society of Addiction Medicine.	<ul> <li>3. Outpatient day treatment or partial hospitalization 1 □ 0 □</li> <li>(Similar to ASAM Level II.5, 20 or more hours per week)</li> </ul>
<ul> <li>*21. Does this facility offer RESIDENTIAL (non-hospital) substance abuse services at this location, that is, the location listed on the front cover?</li> <li>1 □ Yes</li> <li>0 □ No → SKIP TO Q.22 (TOP OF NEXT COLUMN)</li> </ul>	<ul> <li>4. Intensive outpatient treatment</li></ul>
✓ *21a. Which of the following RESIDENTIAL services are offered at this facility?	*23. Does this facility use a sliding fee scale?
MARK "YES" OR "NO" FOR EACH	
<u>YES</u> <u>NO</u> 1. Residential detoxification	$_{\circ}$ □ No → SKIP TO Q.24 (PAGE 7)
<ul> <li>(Similar to ASAM Level III.2-D, clinically managed residential detoxification or social detoxification)</li> <li>2. Residential short-term treatment</li></ul>	<ul> <li>V</li> <li>23a. Do you want the availability of a sliding fee scale published in SAMHSA's Directory/Locator? (For information on Directory/Locator eligibility, see the inside front cover.)</li> <li>The Directory/Locator will explain that sliding fee scales are based on income and other factors.</li> <li>1 Yes</li> </ul>
and III.1, clinically managed medium- or low-intensity residential treatment, typically more than 30 days)	₀□ No

*24. ↓ 24a. 25. *26.	<ul> <li>clients published in SAMHSA's Directory/Loc</li> <li>The Directory/Locator will explain that potentic clients should call the facility for information of eligibility.</li> <li>1 Yes</li> <li>0 No</li> <li>Does this facility receive any funding or gran from the Federal Government, or state, count or local governments, to support its substant abuse treatment programs?</li> <li>Do <u>not</u> include Medicare, Medicaid, or federa military insurance. These forms of client payments are included in Q.26 below.</li> <li>1 Yes</li> <li>0 No</li> <li>d Don't Know</li> </ul>	ligible sator? a/ n ts sy ce	SECTION B: REPORTING CLIENT COUNTS         27. Questions 28 through 33 ask about the number of clients in treatment. If possible, report clients for this facility only. However, we realize that is not always possible. Please indicate whether the clients you report will be for         MARK ONE ONLY         1       Only this facility → SKIP TO Q.28 (PAGE 8)         2       This facility plus others         3       Another facility will report this facility's client counts → SKIP TO Q.34 (PAGE 11)         THIS FACILITY 1         THIS FACILITY 1         THIS FACILITY 1
	substance abuse treatment?		TOTAL FACILITIES
		DN'T	
	YES       NO       KN         1. No payment accepted (free treatment for ALL clients)       0         2. Cash or self-payment       0         3. Medicare       0         4. Medicaid       0         5. A state-financed health insurance plan other than Medicaid       0         6. Federal military insurance such as TRICARE or Champ VA       0         7. Private health insurance       0         8. Access To Recovery (ATR) vouchers       0         9. IHS/638 contract care funds       0		<ul> <li>27b. To avoid double-counting clients, we need to know which facilities are included in your counts. How will you report this information to us?</li> <li>MARK ONE ONLY</li> <li>1 By listing the names and location addresses of these additional facilities in the -Additional Facilities Included in Client Counts" section on page 12 of this questionnaire or attaching a sheet of paper to this questionnaire</li> <li>2 Please call me for a list of the additional facilities included in these counts</li> </ul>

28.	HOSPITAL INPATIENT CLIENT COUNTS         On March 31, 2011, did any patients receive         HOSPITAL INPATIENT substance abuse services at this facility?         -1 □ Yes       0 □ No → SKIP TO Q.29 (NEXT COLUMN)	28c.	How many of the patients from the HOSPITAL INPATIENT TOTAL BOX received: • Include patients who received these drugs for detoxification or maintenance purposes. ENTER A NUMBER FOR EACH (IF NONE, ENTER "0") 1. Methadone dispensed at this facility 2. Buprenorphine dispensed or prescribed at this facility On March 31, 2011, how many hospital inpatient
↓ 28a.	On March 31, 2011, how many patients received the following HOSPITAL INPATIENT substance abuse services at this facility?		beds at this facility were <u>specifically designated</u> for substance abuse treatment? ENTER A NUMBER (IF NONE, ENTER "0") Number of beds
	<ul> <li>COUNT a patient in one service only, even if the patient received both services.</li> </ul>		RESIDENTIAL (NON-HOSPITAL) CLIENT COUNTS
	<ul> <li>DO NOT count family members, friends, or other non-treatment patients.</li> <li>ENTER A NUMBER FOR EACH (IF NONE, ENTER "0")</li> <li>Hospital inpatient detoxification (Similar to ASAM Levels IV-D and III.7-D, medically managed or monitored inpatient detoxification)</li> <li>Hospital inpatient treatment (Similar to ASAM Levels IV and III.7, medically managed or monitored intensive inpatient treatment)</li> <li>HOSPITAL INPATIENT TOTAL BOX</li> </ul>	29. ↓ 29a.	<ul> <li>the following RESIDENTIAL substance abuse services at this facility?</li> <li>COUNT a client in one service only, even if the client received multiple services.</li> <li>DO NOT count family members, friends, or other non-treatment clients.</li> <li>ENTER A NUMBER FOR EACH</li> </ul>
28b.	How many of the patients from the HOSPITAL INPATIENT TOTAL BOX were <u>under</u> the age of 18? ENTER A NUMBER (IF NONE, ENTER "0")		<ul> <li>(IF NONE, ENTER "0")</li> <li>1. Residential detoxification (Similar to ASAM Level III.2-D, clinically managed residential detoxification or social detoxification)</li> <li>2. Residential short-term treatment (Similar to ASAM Level III.5, clinically managed high-intensity residential treatment, typically 30 days or less)</li> </ul>
	Number under age 18		3. Residential long-term treatment (Similar to ASAM Levels III.3 and III.1, clinically managed medium- or low- intensity residential treatment, typically more than 30 days) RESIDENTIAL TOTAL BOX

29b.	How many of the clients from the RESIDENTIAL TOTAL BOX were <u>under</u> the age of 18?	OUTPATIENT CLIENT COUNTS
	ENTER A NUMBER (IF NONE, ENTER "0") Number under age 18	<ul> <li>30. During the month of March 2011, did any clients receive OUTPATIENT substance abuse services at this facility?</li> <li>1 □ Yes</li> <li>0 □ No → SKIP TO Q.31 (PAGE 10)</li> </ul>
29c.	How many of the clients from the RESIDENTIAL DOX received:         • Include clients who received these drugs for detoxification or maintenance purposes.         ENTER A NUMBER FOR EACH (IF NONE, ENTER "0")         1. Methadone dispensed at this facility         2. Buprenorphine dispensed or prescribed at this facility	<ul> <li>30a. How many clients received each of the following OUTPATIENT substance abuse services at this facility during March 2011?</li> <li>ONLY INCLUDE clients who received treatment in March <u>AND were still enrolled in treatment on March 31, 2011</u>.</li> <li>COUNT a client in one service only, even if the client received multiple services.</li> <li>DO NOT count family members, friends, or other non-treatment clients.</li> <li>ENTER A NUMBER FOR EACH (IF NONE, ENTER "0")</li> </ul>
29d.	On March 31, 2011, how many residential <u>beds</u> at this facility were <u>specifically designated</u> for substance abuse treatment? ENTER A NUMBER (IF NONE, ENTER "0") Number of beds	1. Outpatient detoxification (Similar to ASAM Levels I-D and II-D, ambulatory detoxification)
		<ul> <li>20 or more hours per week)</li> <li>4. Intensive outpatient treatment (Similar to ASAM Level II.1, 9 or more hours per week)</li> <li>5. Regular outpatient treatment (Similar to ASAM Level I, outpatient treatment, non-intensive)</li> </ul>

тс	ow many of the clients from the OUTPATIENT DTAL BOX were <u>under</u> the age of 18? ENTER A NUMBER (IF NONE, ENTER "0")	ALL SUBSTANCE ABUSE TREATMENT SETTINGS Including Hospital Inpatient, Residential (non-hospital) and/or Outpatient
Nu	mber under age 18	<ul> <li>31. This question asks you to categorize the substance abuse treatment clients at this facility into three groups: clients in treatment for (1) abuse of <u>both</u> alcohol and drugs other than alcohol; (2) abuse <u>only</u> of alcohol; or (3) abuse <u>only</u> of drugs other than alcohol.</li> <li>Enter the percent of clients on March 31, 2011, who were in each of these three groups:</li> <li>Clients in treatment for abuse of:</li> </ul>
тс • 1.	Deventor of the clients from the OUTPATIENT DTAL BOX received: Include clients who received these drugs for detoxification or maintenance purposes. ENTER A NUMBER FOR EACH (IF NONE, ENTER "0") Methadone dispensed at this facility	1. BOTH alcohol and drugs other than alcohol      %         2. ONLY alcohol      %         3. ONLY drugs other than alcohol      %         TOTAL       100 %         32. Approximately what percent of the substance abuse treatment clients enrolled at this facility on March 31, 2011, had a diagnosed co-occurring mental and substance abuse disorder?         PERCENT OF CLIENTS (IF NONE, ENTER "0")       %
		<ul> <li>33. Using the most recent 12-month period for which you have data, approximately how many substance abuse treatment ADMISSIONS did this facility have?</li> <li>OUTPATIENT CLIENTS: Count admissions into treatment, not individual treatment visits. Consider an admission to be the initiation of a treatment program or course of treatment. Count any re-admission as an admission.</li> <li>IF THIS IS A MENTAL HEALTH FACILITY: Count all admissions in which clients received substance abuse treatment, even if substance abuse was their secondary diagnosis.</li> <li>NUMBER OF SUBSTANCE ABUSE ADMISSIONS IN A 12-MONTH PERIOD</li> </ul>

	SECTION C: GENERAL INFORMATION Section C should be completed for this facility only.	<ul> <li>37. Does this facility have a National Provider Identifier (NPI) number?</li> <li>1 □ Yes</li> <li>0 □ No → SKIP TO Q.38 (BELOW)</li> </ul>	
*34.	Does this facility operate a halfway house or other transitional housing for substance abuse clients at this location, that is, the location listed on the front cover?	37a. What is the NPI number for this facility?	
35.	Which statement below BEST describes this facility's smoking policy?	*38. Does this facility have a website or web page with information about the facility's substance abuse treatment programs?	
	MARK ONE ONLY	1 Please check the front cover of this questionnaire to confirm that the website	
	□ Smoking is <u>not permitted</u> on the property or within any building	• □ No address for this facility is correct <u>EXACTLY</u> as listed. If incorrect or missing, enter the correct address.	
	<sup>2</sup> Smoking is <u>permitted only outdoors</u>	This ing, once the context address.	
	3 □ Smoking is permitted outdoors and in designated indoor area(s)	39. If eligible, does this facility want to be listed in the National Directory and online Treatment Facility	
	4 □ Smoking is <u>permitted anywhere without</u> <u>restriction</u>	<b>Locator?</b> (See inside front cover for eligibility information.)	
	5 □ Other (Specify:)	□ Yes □ No	
36.	Is this facility or program licensed, certified, or accredited to provide substance abuse services by any of the following organizations?	40. Would you like to receive a free copy of the next National Directory of Drug and Alcohol Abuse Treatment Programs when it is published?	
	• Do not consider personal-level credentials or general business licenses such as a food service license.	$ \begin{array}{c c} & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & $	
	MARK "YES," "NO," OR "DON'T KNOW" FOR EACH	♦ 40a. Would you prefer to receive a CD or paper copy	
	DON'T <u>YES NO KNOW</u>	of the Directory?	
	1. State substance abuse agency1 □ 0 □ d □		
	2. State mental health department 1		
	3. State department of health $1 \square 0 \square d \square$	41. Who was primarily responsible for completing this form? This information will only be used if we need to contact you about your responses. It will not be	
	4. Hospital licensing authority1 □ 0 □ d □	published.	
	5. The Joint Commission1 0 0 d	Neme	
	<ol> <li>Commission on Accreditation of Rehabilitation Facilities (CARF)1 □ 0 □ d □</li> </ol>	Name: Title:	
	<ol> <li>National Committee for Quality Assurance (NCQA)1 □ 0 □ d □</li> </ol>	Phone Number: ()	
	8. Council on Accreditation (COA)1	Fax Number: ()	
	9. Another state or local agency or other organization1	Email Address:	
	(Specify:)	Facility Email Address:	

### ADDITIONAL FACILITIES INCLUDED IN CLIENT COUNTS

Complete this section if you reported clients for this facility plus other facilities, as indicated in Question 27.

FACILITY NAME:	FACILITY NAME:	
ADDRESS:	ADDRESS:	
CITY:	CITY:	
STATE: ZIP:	STATE:	ZIP:
PHONE:	PHONE:	
FACILITY EMAIL ADDRESS:	FACILITY EMAIL ADDRESS:	
FACILITY NAME:	FACILITY NAME:	
ADDRESS:	ADDRESS:	
CITY:	CITY:	
STATE: ZIP:	STATE:	ZIP:
PHONE:	PHONE:	
FACILITY EMAIL ADDRESS:	FACILITY EMAIL ADDRESS:	
FACILITY NAME:	FACILITY NAME:	
ADDRESS:	ADDRESS:	
	СПТҮ:	
STATE:ZIP;	STATE:	ZIP:
PHONE:	PHONE:	
FACILITY EMAIL ADDRESS:	FACILITY EMAIL ADDRESS:	
FACILITY NAME:	_ FACILITY NAME:	
ADDRESS:	ADDRESS:	
	CITY:	
STATE: ZIP:	STATE:	ZIP:
PHONE:	PHONE:	
FACILITY EMAIL ADDRESS:	FACILITY EMAIL ADDRESS:	

### **ANY ADDITIONAL COMMENTS**

#### Pledge to respondents

The information you provide will be protected to the fullest extent allowable under the Public Health Service Act, 42 USC Sec 501(n). This law permits the public release of identifiable information about an establishment only with the consent of that establishment and limits the use of the information to the purposes for which it was supplied. With the explicit consent of eligible treatment facilities, information provided in response to survey questions marked with an asterisk will be published in SAMHSA's *National Directory of Drug and Alcohol Abuse Treatment Programs* and the Substance Abuse Treatment Facility Locator. Responses to non-asterisked questions will be published only in statistical summaries so that individual treatment facilities cannot be identified.

Thank you for your participation. Please return this questionnaire in the envelope provided. If you no longer have the envelope, please mail this questionnaire to:

#### MATHEMATICA POLICY RESEARCH ATTN: RECEIPT CONTROL - Project 06667 P.O. Box 2393 Princeton, NJ 08543-2393

Public burden for this collection of information is estimated to average 40 minutes per response including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to SAMHSA Reports Clearance Officer, Room 7-1044, 1 Choke Cherry Road, Rockville, MD 20857. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB number for this project is 0930-0106.