

**SAN JOSE STATE UNIVERSITY
HUMAN SUBJECTS INSTITUTIONAL REVIEW BOARD**

Principal Investigator or Faculty Supervisor Assurance

A faculty supervisor must sign this form if a new IRB application is being submitted for review by a student. Likewise, faculty or staff must sign and submit this form with IRB application submissions for their own work as principal investigators. This form may not be filled out by student investigators. SJSU policy prohibits students from being principal investigators and requires faculty supervisors to act as PIs on student IRB submissions.

Study Title:

Name of Principal Investigator or Faculty Supervisor:

Email Address of Principal Investigator:

Department of Principal Investigator:

Name(s) of Student Investigator(s) (if applicable):

Email address of student investigator point of contact:

Assurance

I understand and accept my responsibilities the Principal Investigator for this study. I hereby assure the following:

1. I have consulted the [Exclusion Worksheet](#) on the IRB website and determined that the work meets the regulatory definition of human subjects research requiring submission of an IRB application.
2. I have no significant financial conflict of interest in this project or have disclosed a conflict per institutional policies and federal requirements.
3. All experiments and procedures involving human subjects will be performed under the PI's supervision or that of another qualified professional listed in the IRB application, and all research personnel are adequately trained.
4. Faculty and staff PIs and Co-PIs have completed the training requirement for the protection of human research subjects as outlined on the [SJSU IRB website](#).

5. Responsibility for compliance of any external personnel listed in the application rests with the SJSU PI.
6. The study will be conducted according to the protocol approved by the IRB, and no changes in the design, conduct, or key personnel of this research will be implemented without prior SJSU IRB review and approval, unless the changes are necessary to eliminate an apparent immediate hazard to participants. Changes made to eliminate hazards to participants will be reported to the IRB promptly.
7. If any study subject experiences an unanticipated problem and/or serious adverse event, the IRB will be informed promptly within no more than one week (7 calendar days).
8. Participants' complaints or requests for information about the study will be addressed appropriately.
9. I will promptly and completely comply with an SJSU IRB or an Institutional Official (IO) decision to suspend or withdraw approval for the study.
10. I will apply all relevant professional standards.
11. The protocol is clearly written for a general audience and all documents have been proof-read.
12. The information provided in the protocol application is accurate and complete to the best of my knowledge.
13. I understand that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

My signature indicates I have read and agree to the above assurance.

Principal Investigator and/or Faculty Supervisor Signature

Date signed: